

(Nonlinear) k_{\perp} -factorization in Saturation Regime

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Workshop On Hadron Structure And QCD:
From Low To High Energies (HSQCD 2004)
18-22 May 2004, St. Petersburg, Repino, Russia

principal references:

- N. N. Nikolaev, W. Schäfer. and G. Schwiete, Phys. Rev. D **63**, 014020 (2001)
- N.N. Nikolaev, W.Schäfer. , B.G. Zakharov, V.R. Zoller, JETP Lett. 76 (2002) 195;
- N.N. Nikolaev, W. Schäfer., B.G. Zakharov, V.R. Zoller, JETP 97 (2003) 441.

★ DIS at very small $x \ll 1$ in the Breit frame:

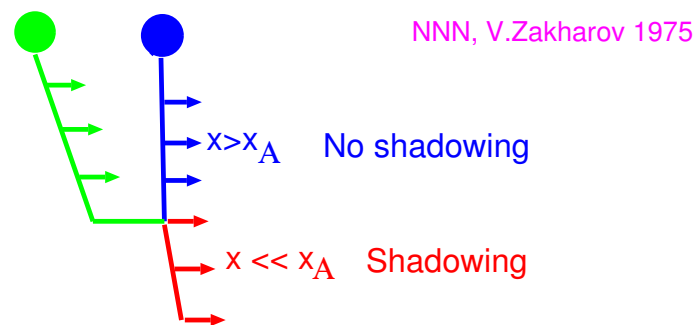
- the Lorentz-contracted ultrarelativistic nucleus becomes thinner than the longitudinal spatial localization of soft partons:

$$R_A \rightarrow R_A \frac{m_N}{p_N} < \lambda = \frac{1}{k_z} = \frac{1}{x p_N}.$$

- a **spatial overlap** of partons with

$$x \lesssim x_A = \frac{1}{R_A m_N}$$

from all the nucleons at the same impact parameter
 \Rightarrow **FUSION & NUCLEAR SHADOWING.**



- Nuclear parton density (if it can be meaningfully defined!) is a **nonlinear** functional of the free nucleon parton density: the same sea is shared by two & more parent nucleons.
- Scattering theory: shadowing as a manifestation of **unitarity** for dipole amplitudes:

the major strategy in this talk.

★ DIS at very small $x \ll 1$ in the lab. frame:

- DIS can be viewed as an interaction of frozen multiparton Fock states of the photon with the target nucleon or nucleus. Quantum mechanics of color factorization (NNN, B.Zakharov '91)

$$\sigma_T(x, Q^2) = \langle \gamma^* | \sigma(x, \mathbf{r}) | \gamma^* \rangle = \int_0^1 dz \int d^2\mathbf{r} \Psi_{\gamma^*}^*(z, \mathbf{r}) \sigma(x, \mathbf{r}) \Psi_{\gamma^*}(z, \mathbf{r})$$

- $z_+ = z$ and $z_- = 1 - z \longrightarrow$ energy & momentum partition between q & \bar{q} ; \mathbf{r} = size & orientation of the color dipole.
- Connection to the unintegrated gluon SF of the target & equivalence between color dipole and k_\perp -factorization:

$$\sigma(x, \mathbf{r}) = \frac{4\pi}{N_c} \alpha_S(r) \int d^2\boldsymbol{\kappa} [1 - \exp(i\boldsymbol{\kappa}\mathbf{r})] \cdot \frac{1}{\boldsymbol{\kappa}^4} \cdot \frac{\partial G_N}{\partial \log \boldsymbol{\kappa}^2}$$

- A convenient definition:

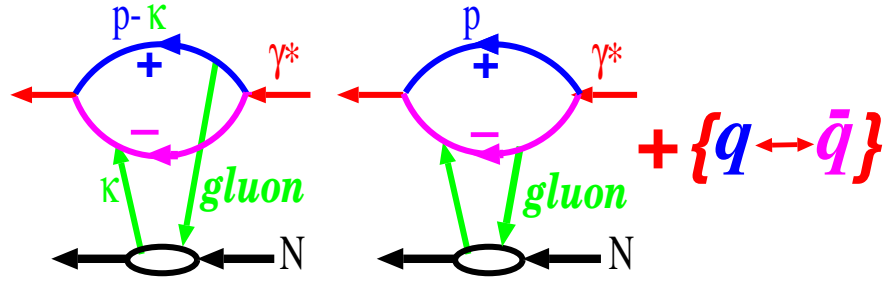
$$f(\boldsymbol{\kappa}) = \frac{4\pi}{N_c \sigma_0(x)} \cdot \frac{1}{\boldsymbol{\kappa}^4} \cdot \frac{\partial G_N(x, \boldsymbol{\kappa})}{\partial \log \boldsymbol{\kappa}^2}, \quad \int d^2\boldsymbol{\kappa} f(\boldsymbol{\kappa}) = 1$$

- $\sigma_0(x) = \sigma(x, \mathbf{r}) \Big|_{r \rightarrow \infty}$
- Color dipole BFKL equation (NNN, B.Zakharov, Zoller '94)

$$\frac{\partial \sigma(x, \mathbf{r})}{\partial \log \frac{1}{x}} = K_0 \int d^2\boldsymbol{\rho} \frac{\mathbf{r}^2}{\boldsymbol{\rho}^2 (\boldsymbol{\rho} - \mathbf{r})^2} [\sigma(x, \boldsymbol{\rho}) + \sigma(x, \boldsymbol{\rho} - \mathbf{r}) - \sigma(x, \mathbf{r})]$$

$$K_0 = \frac{1}{2\pi^2} N_c \alpha_S$$

$\langle \gamma^* | \sigma(\mathbf{r}) | \gamma^* \rangle$ sums 4 Feynman diagrams with the two-gluon tower in the t -channel



★ $f(\kappa)$ defines the Pomeron \mathbb{P} for a free nucleon target

★ $f(\kappa)$ and the final states:

- Forward dijets: $x_\gamma = z_+ + z_- \approx 1$
- Forward jets have transverse momentum \mathbf{p} or $\mathbf{p} - \kappa$
- Jet-jet azimuthal decorrelation momentum $\Delta = \mathbf{p}_+ + \mathbf{p}_-$
- k_\perp -factorization for the jet-jet decorrelation:

$$\frac{d\sigma_N}{dz d^2\mathbf{p}_+ d^2\Delta} = \frac{\sigma_0}{2} \cdot \frac{\alpha_S(\mathbf{p}^2)}{(2\pi)^2} f(\Delta) \left| \langle \gamma^* | z, \mathbf{p}_+ \rangle - \langle \gamma^* | z, \mathbf{p}_+ - \Delta \rangle \right|^2$$

$$= \frac{\alpha_S(\mathbf{p}^2)}{2\pi N_c} \cdot \frac{\mathcal{F}(x, \Delta^2)}{\Delta^4} \cdot \left| \langle \gamma^* | z, \mathbf{p}_+ \rangle - \langle \gamma^* | z, \mathbf{p}_+ - \Delta \rangle \right|^2 .$$

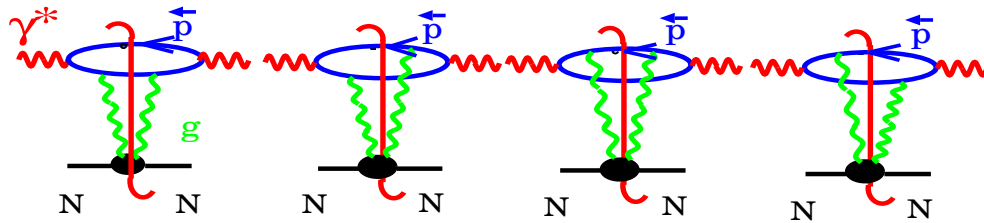
★ The jet-jet decorrelation distribution is a linear functional of the unintegrated gluon density of the target.

★ Diffractive and truly inelastic DIS off nuclei:

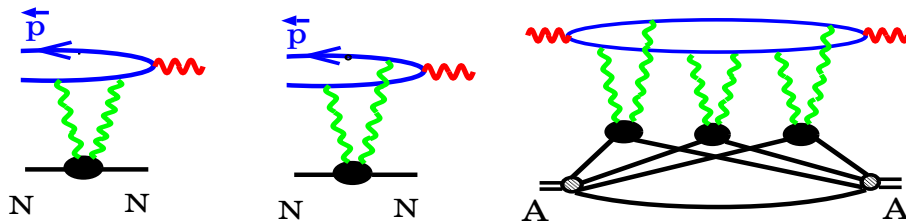
the dipole is coherent over the whole nucleus for $x \lesssim x_A$:

⇒ *Glauber–Gribov formalism*:

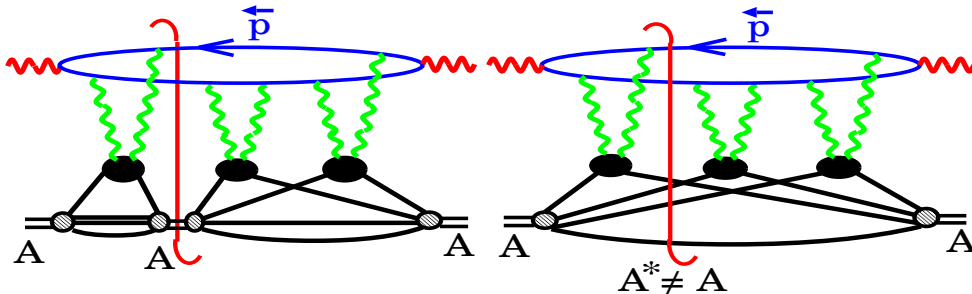
$$\sigma_A(\mathbf{r}) = 2 \int d^2\mathbf{b} \Gamma_A(\mathbf{b}, \mathbf{r}) = 2 \int d^2\mathbf{b} [1 - \exp(-\frac{1}{2} \sigma(\mathbf{r}) T(\mathbf{b}))]$$



Unitarity cuts for DIS off free nucleons

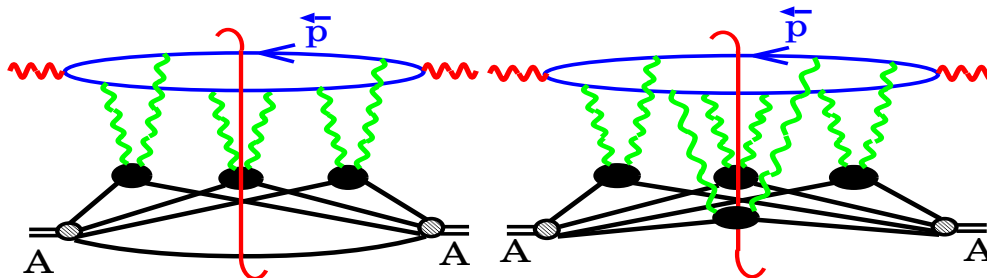


Amplitude of diffractive DIS γ^*A Compton amplitude



Coherent diffractive cut

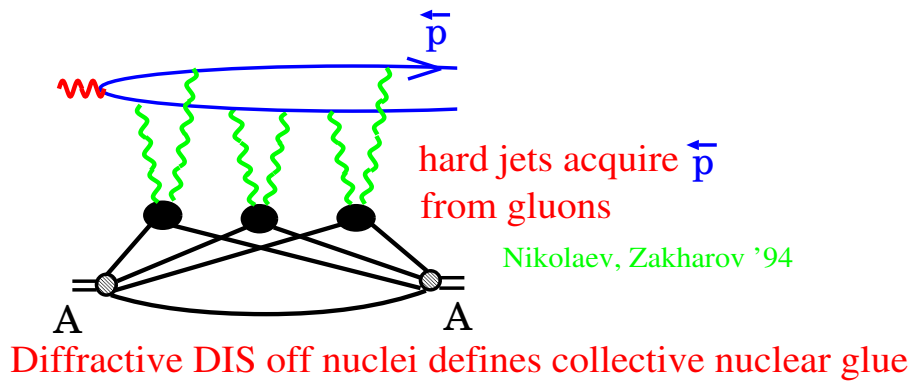
Incoherent diffractive cut



Truly inelastic DIS:

Single color excitation

Multiple color excitation



$$\frac{d\sigma_D(\gamma^* A)}{d^2\mathbf{b}dzd^2\mathbf{p}} = \frac{1}{(2\pi)^2} \left| \langle \gamma^* | \left\{ 1 - \exp\left[-\frac{1}{2}\sigma(\mathbf{r})T(\mathbf{b})\right] \right\} | \mathbf{p} \rangle \right|^2$$

- Nuclear thickness $T(\mathbf{b}) = \int dz n_A(\mathbf{b}, z)$ sets a **new scale**.

$$\Gamma_A(\mathbf{b}, \mathbf{r}) = \int d^2\boldsymbol{\kappa} \phi(\mathbf{b}, \boldsymbol{\kappa}) \{1 - \exp[i\boldsymbol{\kappa}\mathbf{r}]\}$$

- Nuclear flux of glue per **unit area** in the impact parameter space

$$\phi(\mathbf{b}, \boldsymbol{\kappa}) = \sum_{j=1}^{\infty} w_j(\mathbf{b}) f^{(j)}(\boldsymbol{\kappa})$$

$$w_j(\mathbf{b}) = \frac{\nu_A^j(\mathbf{b})}{j!} \exp[-\nu_A(\mathbf{b})], \quad \nu_A(\mathbf{b}) = \frac{1}{2}\alpha_S(r)\sigma_0 T(\mathbf{b})$$

- w_j is the probability to find j **overlapping nucleons** at impact parameter \mathbf{b} in a Lorentz-contracted nucleus, $f^{(j)}$ is a **collective glue of j overlapping nucleons**:

$$f^{(j)}(\boldsymbol{\kappa}) = \int \prod_i^j d^2\boldsymbol{\kappa}_i f(\boldsymbol{\kappa}_i) \delta(\boldsymbol{\kappa} - \sum_i^j \boldsymbol{\kappa}_i), \quad f^{(0)}(\boldsymbol{\kappa}) \equiv \delta(\boldsymbol{\kappa})$$

- Hard glue **per bound nucleon** is not shadowed: (NNN,Schäfer, Schwiete '00)

$$f_A(\mathbf{b}, \kappa) = \frac{\phi(\mathbf{b}, \kappa)}{\nu_A(\mathbf{b})}$$

$$= f(\kappa) \left[1 + \frac{\gamma^2}{2} \cdot \frac{\alpha_S(\kappa^2) G(\kappa^2)}{\alpha_S(Q_A^2) G(Q_A^2)} \cdot \frac{Q_A^2(\mathbf{b})}{\kappa^2} \right].$$

- γ = exponent of the large- κ^2 tail $f(\kappa) \sim \alpha_S(\kappa^2)/(\kappa^2)^\gamma$
- Width of the plateau (**saturation & higher twist scale**)

$$Q_A^2(\mathbf{b}) \approx \frac{4\pi^2}{N_c} \alpha_S(Q_A^2) G(Q_A^2) T(\mathbf{b}).$$

(The saturation scale of Mueller '90)

- Manifestly positive-valued nuclear higher twist correction with **nuclear antishadowing** property. **No dependence on soft parameters.** (NNN,Schäfer, Schwiete '00)
- **Antishadowing** is an origin of the **Cronin effect**.
- Plateau for softer collective glue **per unit area** in the impact parameter plane:

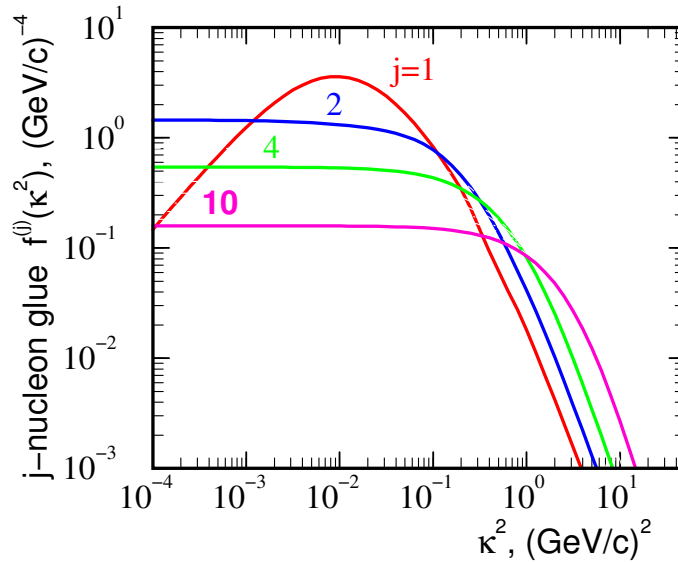
$$\phi(\mathbf{b}, \kappa) \approx \frac{1}{\pi} \frac{Q_A^2(\mathbf{b})}{(\kappa^2 + Q_A^2(\mathbf{b}))^2},$$

- Nuclear **dilution** of the collective glue at small $\kappa^2 \ll Q_A^2(\mathbf{b})$:

$$\phi(\mathbf{b}, \kappa) \propto \frac{1}{\nu_A(\mathbf{b})}$$

- Numerical evaluation for $A^{1/3} = 6$ gives the saturation scale

$$\langle Q_A^2(\mathbf{b}) \rangle_{Au} \approx 0.8(\text{GeV}/c)^2.$$



Dilution for soft, and broadening for hard, of the collective glue of j overlapping nucleons $f^{(j)}(\boldsymbol{\kappa})$ for DIS at $x = 0.01$.

- The k_{\perp} -factorization for the total DIS cross section in terms of the *same collective glue*:

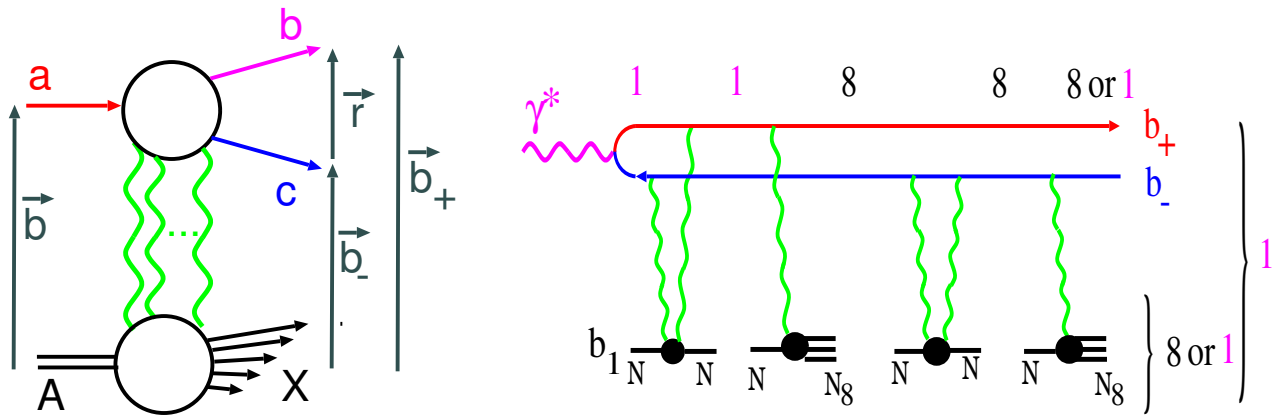
$$\sigma_{\gamma^*A} = \int d^2\mathbf{b} \int \frac{d^2\mathbf{p}}{(2\pi)^2} \alpha_S(\mathbf{p}^2) \int d^2\boldsymbol{\kappa} \phi(\boldsymbol{\kappa}) |\langle \gamma^* | \mathbf{p} \rangle - \langle \gamma^* | \mathbf{p} - \boldsymbol{\kappa} \rangle|^2$$

- Identical to the free nucleon case: $\phi(\boldsymbol{\kappa}) \iff f(\boldsymbol{\kappa})$, the exchange by a **single nuclear Pomeron**.

?? Any nuclear k_{\perp} -factorization in terms of $\phi(\boldsymbol{\kappa})$??

?? Any similarity between nuclear and free-nucleon Pomerons ??

★ Non-Abelian intranuclear evolution



- 'decay' of a virtual particle $a \rightarrow bc$, with a weak, perturbative abc -coupling g : $|a\rangle_{phys} = |a\rangle_0 + g\psi(\mathbf{r})|bc\rangle_0$
- Simple action of S -matrix on bare partons. Scattering state:

$$S|a\rangle_{phys} = S_a(\mathbf{b})|a\rangle_0 + gS_b(\mathbf{b}_+)S_c(\mathbf{b}_-)\psi(\mathbf{r})|bc\rangle_0$$

$$= S_a(\mathbf{b})|a\rangle_{phys} + g[S_b(\mathbf{b}_+)S_c(\mathbf{b}_-) - S_a(\mathbf{b})]\psi(\mathbf{r})|bc\rangle_0$$

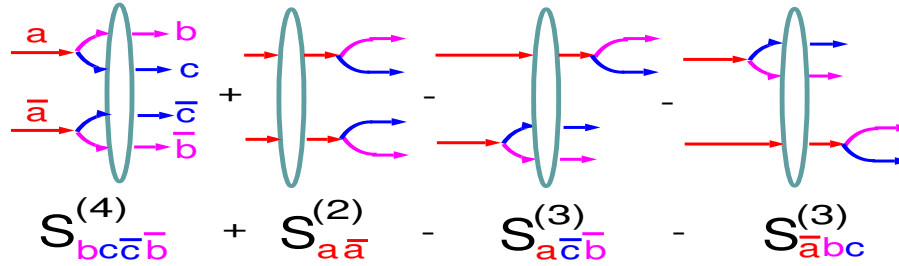
- $S_b S_c \Leftrightarrow$ scattering after and $S_a \Leftrightarrow$ scattering before the decay. Amplitude for inelastic excitation *vanishes* if $S_b S_c = S_a$.
- The nuclear S -matrix $S_A(\mathbf{b}) = \prod_{j=1}^A S_N(\mathbf{b} - \mathbf{b}_j)$ (ordering along the longitudinal path is understood)
- 2-particle inclusive spectrum for $a \rightarrow b(\mathbf{p}_+)c(\mathbf{p}_-)$
 $\mathbf{b} = z\mathbf{b}_+ + (1-z)\mathbf{b}_-$

$$\frac{d\sigma}{dzd^2\mathbf{p}_+d^2\mathbf{p}_-} = \frac{1}{(2\pi)^4} \int d^2\mathbf{b}_+d^2\mathbf{b}_-d^2\mathbf{b}'_+d^2\mathbf{b}'_-$$

$$\times \exp[i\mathbf{p}_+(\mathbf{b}_+ - \mathbf{b}'_+) + i\mathbf{p}_-(\mathbf{b}_- - \mathbf{b}'_-)]\Psi(\mathbf{b}_+ - \mathbf{b}_-)\Psi^*(\mathbf{b}'_+ - \mathbf{b}'_-)$$

$$\{S^{(4)}(\mathbf{b}_+, \mathbf{b}_-, \mathbf{b}'_+, \mathbf{b}'_-) + S^{(2)}(\mathbf{b}, \mathbf{b}') - S^{(3)}(\mathbf{b}_+, \mathbf{b}_-, \mathbf{b}') - S^{(3)}(\mathbf{b}'_+, \mathbf{b}'_-, \mathbf{b})\}$$

- Application of closure on the nuclear side in the square of the amplitude.



$S^{(4)}$ describes scattering of a 4-body system of dipoles off the target → evaluated in **Glauber-Gribov** approximation. The 4-parton system is in an overall **color singlet** state.

Dipole states: $|R\bar{R}\rangle = |(b\bar{b})_R \otimes (c\bar{c})_{\bar{R}}\rangle$

e.g. $R = 1, 8$ for $bc = q\bar{q}$, $R = 1, 8_A, 8_S, 10 + \bar{10}, 27$ for $bc = gg$.

- $\Rightarrow S^{(4)}$ is an element of the matrix $\hat{S}^{(4)} = \exp\{-\frac{1}{2}\sigma_4(\mathbf{b}_+' , \mathbf{b}_-' , \mathbf{b}_+ , \mathbf{b}_-)T(\mathbf{b})\}$, whose eigenvalues can be expressed through the free nucleon color dipole cross section.
- The color-coupled channel aspect of intranuclear dipole propagation **cannot be absorbed in a universal unintegrated glue of the nucleus**. *No k_{\perp} -factorisation of two particle-spectra*.
- \Rightarrow a whole **density matrix** of gluons in color space is called upon.

- Exceptional case of forward quark jets in DIS: back to σ_{γ^*A}

$$\sigma_{\gamma^*A} = \int d^2\mathbf{b} \int \frac{d^2\mathbf{p}}{(2\pi)^2} \alpha_S(\mathbf{p}^2) \int d^2\boldsymbol{\kappa} \phi(\boldsymbol{\kappa}) |\langle \gamma^* | \mathbf{p} \rangle - \langle \gamma^* | \mathbf{p} - \boldsymbol{\kappa} \rangle|^2$$

the single-quark \mathbf{p} distribution is calculated through $\phi(\boldsymbol{\kappa})$ as if struck quarks have no FSI: an analogy between **nuclear** and **free-nucleon** pomerons **for certain observables** .

(similar quasi-abelian special cases of single particle spectra: Kopeliovich et al. '99, Mueller '99 , Wiedemann '00, Kovchegov '01)

- The single particle spectrum for $g^* \rightarrow Q\bar{Q}$

differential version of NNN, Piller, Zakharov '94

- On the free nucleon target:

$$\frac{d\sigma}{dz d^2\mathbf{p}} = \frac{1}{2(2\pi)^2} \int d^2\mathbf{r} d^2\mathbf{r}' \exp[i\mathbf{p}(\mathbf{r} - \mathbf{r}')] \Psi(\mathbf{r}) \Psi^*(\mathbf{r}') \\ \{ \sigma_3(z\mathbf{r}', \mathbf{r}) + \sigma_3(z\mathbf{r}, \mathbf{r}') - \sigma_{2,Q\bar{Q}}(\mathbf{r} - \mathbf{r}') - \sigma_{2,gg}(z(\mathbf{r} - \mathbf{r}')) \}$$

- **Three-body cross section** (Nikolaev, Zakharov '94)

$$\sigma_3(\boldsymbol{\rho}, \mathbf{r}) = \frac{C_A}{2C_F} \left[\sigma_2(\boldsymbol{\rho}) + \sigma_2(\mathbf{r} - \boldsymbol{\rho}) - \frac{1}{N_c^2} \sigma_2(\mathbf{r}) \right] \\ \equiv F[\sigma_2]$$

- For the *nuclear cross section* substitute:

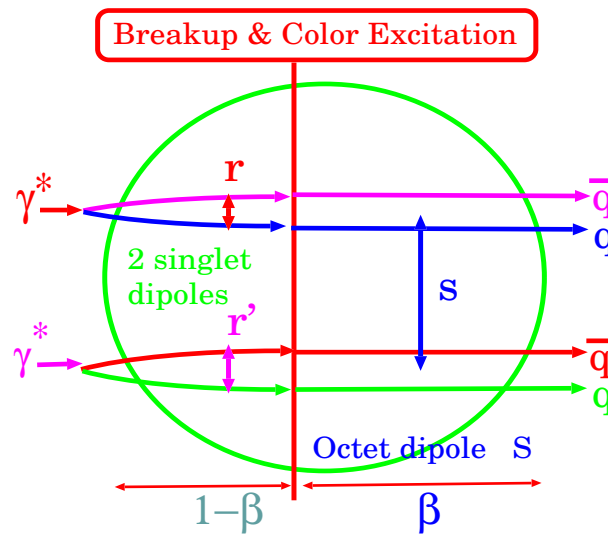
$$\sigma_2(\mathbf{r}) \rightarrow \sigma_{2A}(\mathbf{r}) = 2 \int d^2\mathbf{b} [1 - \exp(-\frac{1}{2} \sigma_2(\mathbf{r}) T(\mathbf{b}))]$$

$$\sigma_3(\mathbf{r}, \boldsymbol{\rho}) \rightarrow \sigma_{3A}(\mathbf{r}, \boldsymbol{\rho}) = 2 \int d^2\mathbf{b} [1 - \exp(-\frac{1}{2} \sigma_3(\mathbf{r}, \boldsymbol{\rho}) T(\mathbf{b}))]$$

- **!! But: $\sigma_{3A}(\mathbf{r}, \boldsymbol{\rho}) \neq F[\sigma_{2A}]$!!**

\implies if the decaying particle a is not a passive state, *k_{\perp} -factorization is in general violated already for the single particle spectrum.*

- Breakup of virtual photon into dijets $\gamma^*(Q^2) \rightarrow q(\mathbf{p}_+) \bar{q}(\mathbf{p}_-)$ in *truly inelastic* DIS
- **Coherent diffractive** DIS = 50 per cent of total for heavy nucleus (NNN, Zakharov, Zoller '94). **Diffractive** dijets are **exactly back-to-back**, $\Delta = \mathbf{p}_+ + \mathbf{p}_- \sim \frac{1}{R_A} \sim 0$
- For **hard dijets** \Rightarrow linear dependence on the nuclear unintegrated glue in terms of: $\Phi(\nu_A(\mathbf{b}), \boldsymbol{\kappa}) = \exp(-\nu_A(\mathbf{b})) \delta^{(2)}(\boldsymbol{\kappa}) + \phi(\nu_A(\mathbf{b}), \boldsymbol{\kappa})$ for grey nuclei includes a numerically important no-rescattering term $\propto \delta^{(2)}(\boldsymbol{\kappa})$



- Nuclear dijet spectrum is a convolution of Φ with the free nucleon cross section ($\lambda_c \equiv C_A/2C_F$):

$$\frac{d\sigma_{in}}{d^2\mathbf{b} dz d^2\mathbf{p}_+ d^2\Delta} = T(\mathbf{b}) \times \int d^2\boldsymbol{\kappa} \int_0^1 d\beta \Phi(2\beta \lambda_c \nu_A(\mathbf{b}), \Delta - \boldsymbol{\kappa}) \frac{d\sigma_N}{dz d^2\mathbf{p}_+ d^2\boldsymbol{\kappa}}$$

- Dependence on Φ is **different** from the k_\perp -factorization for the free nucleon case \Rightarrow **breaking of the k_\perp -factorization for nuclei !**

★ **Semihard** dijets below the saturation scale: $|\mathbf{p}_\pm|^2 \lesssim Q_A^2$
 \implies *complete azimuthal decorrelation of dijets* and a highly nonlinear dependence on the nuclear unintegrated glue with no resemblance of the free-nucleon formula.

- Simple closed form in the large- N_c approximation:

$$\begin{aligned} \frac{d\sigma_{in}}{d^2\mathbf{b}dzd\mathbf{p}_-d\Delta} &= \frac{1}{2(2\pi)^2} \alpha_S \sigma_0 T(\mathbf{b}) \\ &\times \int_0^1 d\beta \int d^2\boldsymbol{\kappa}_3 d^2\boldsymbol{\kappa} f(\boldsymbol{\kappa}) \\ &\times \Phi(\beta\nu_A(\mathbf{b}), \Delta - \boldsymbol{\kappa}_3 - \boldsymbol{\kappa}) \Phi(\beta\nu_A(\mathbf{b}), \boldsymbol{\kappa}_3) \\ &\times \left| \int d^2\boldsymbol{\kappa}_1 \Phi((1-\beta)\nu_A(\mathbf{b}), \boldsymbol{\kappa}_1) \right. \\ &\left. \{ \langle \gamma^* | z, \mathbf{p}_- + \boldsymbol{\kappa}_1 + \boldsymbol{\kappa}_3 \rangle - \langle \gamma^* | z, \mathbf{p}_- + \boldsymbol{\kappa}_1 + \boldsymbol{\kappa}_3 + \boldsymbol{\kappa} \rangle \} \right|^2. \end{aligned}$$

- What are the universality properties of such a **nonlinear k_\perp -factorization** ?
- The slice $(1-\beta)$ in which the dipole was in the color-singlet state \implies **Initial State Interaction (distortion of the WF)**
- The slice β in which the dipole is in the color-octet state \implies **Final State Interaction (broadening)** .

★ $\phi((1-\beta)\nu_A(\mathbf{b}), \boldsymbol{\kappa}), \phi(\beta\nu_A(\mathbf{b}), \boldsymbol{\kappa}) \neq \phi(\nu_A(\mathbf{b}), \boldsymbol{\kappa}) \Big|_{DIS}$

- ★ Dijets are described by a “**four nuclear Pomeron exchange**” .
- Soft dijets, $|\mathbf{p}_-|, |\Delta| \lesssim Q_A$: a **complete disappearance** of the azimuthal correlation

Small- x evolution of the **nuclear DIS cross section** and **nuclear Pomeron**.

★ The **free-nucleon** target:

the effect of the $q\bar{q}g$ and higher Fock states can be reabsorbed in the **linear** BFKL evolution for the dipole cross section or the unintegrated glue, with the photon treated as the $q\bar{q}$ state.

★ Similar reabsorption is possible for nuclei, **but without a closed-form evolution equation !!**

★ The **first iteration** of the $\log \frac{1}{x}$ evolution:

$$\begin{aligned} \frac{\partial \Delta\sigma_A(x, \mathbf{r})}{\partial \log \frac{1}{x}} &= K_0 \int d^2 \boldsymbol{\rho} \frac{\mathbf{r}^2}{\boldsymbol{\rho}^2 (\boldsymbol{\rho} - \mathbf{r})^2} \\ &\times 2 \int d^2 \mathbf{b} [\Gamma_{3A}(\mathbf{b}, \boldsymbol{\rho}, \mathbf{r}) - \Gamma_{2A}(\mathbf{b}, \mathbf{r})] = 2 \int d^2 \mathbf{b} \frac{\partial \Gamma_A(x, \mathbf{b}, \mathbf{r})}{\partial \log \frac{1}{x}} \\ \Gamma_{3A}(\mathbf{b}, \boldsymbol{\rho}, \mathbf{r}) &= 1 - S_{3A}(\mathbf{b}, \boldsymbol{\rho}, \mathbf{r}) = 1 - \exp\left[-\frac{1}{2} \sigma_3(\boldsymbol{\rho}, \mathbf{r}) T(\mathbf{b})\right] \end{aligned}$$

- Simplified Glauber formulas in the large- N_c approximation:

$$\begin{aligned} S_{3A}(\mathbf{b}, \boldsymbol{\rho}, \mathbf{r}) &= S_{2A}(\mathbf{b}, \boldsymbol{\rho} - \mathbf{r}) S_{2A}(\mathbf{b}, \boldsymbol{\rho}) \\ \Gamma_{3A}(\mathbf{b}, \boldsymbol{\rho}, \mathbf{r}) - \Gamma_{2A}(\mathbf{b}, \mathbf{r}) &= \\ &\Gamma_{2A}(\boldsymbol{\rho} - \mathbf{r}) + \Gamma_{2A}(\mathbf{b}, \boldsymbol{\rho}) - \Gamma_{2A}(\mathbf{b}, \mathbf{r}) - \Gamma_{2A}(\mathbf{b}, \boldsymbol{\rho} - \mathbf{r}) \Gamma_{2A}(\mathbf{b}, \boldsymbol{\rho}) \end{aligned}$$

- $\partial \Gamma_A(x, \mathbf{b}, \mathbf{r}) / \partial \log \frac{1}{x}$ is a **nonlinear** functional of Γ_{2A} , the identification of $\Gamma_A(x, \mathbf{b}, \mathbf{r})$ with $\Gamma_{2A}(x, \mathbf{b}, \mathbf{r})$, and the extension of the first iteration to the closed-form nonlinear equation, would be utterly **erroneous**.

The **momentum-space** analysis is illuminating:

- Define nuclear transparency for large dipoles:
 $S_A(\mathbf{b}, \sigma_0) = \exp[-\frac{1}{2}\sigma_0 T(\mathbf{b})] = 1 - \int d^2\mathbf{k} \phi(\mathbf{k})$
- The first iteration for unintegrated nuclear glue:

$$\begin{aligned} \frac{\partial \Delta \phi_A(x, \mathbf{b}, \Delta)}{\partial \log \frac{1}{x}} &= S_A(\mathbf{b}, \sigma_0) \mathcal{K}_{BFKL} \otimes \phi(\Delta) \\ &+ K_0 \int d^2\mathbf{p} d^2\mathbf{k} \phi(\mathbf{k}) \left\{ K(\Delta + \mathbf{p}, \Delta + \mathbf{k}) \phi(\mathbf{p}) \right. \\ &\quad \left. - K(\mathbf{p}, \mathbf{p} - \Delta) - \mathbf{k}) \phi(\Delta) \right\} \\ &= S_A(\mathbf{b}, \sigma_0) \mathcal{K}_{BFKL} \otimes \phi(\Delta) + \mathcal{K}_{NonLin} \left[\phi(\Delta) \right] \end{aligned}$$

- Contains an **absorption suppressed linear BFKL term**.
- For central DIS off heavy nuclei $S_A \rightarrow 0$. The evolution is entirely driven by the nonlinear term quadratic in $\phi(\mathbf{k})$.
- The connection to the \mathcal{K}_{BFKL} :

$$\begin{aligned} K(\mathbf{p}, \mathbf{k}) &= \frac{(\mathbf{p} - \mathbf{k})^2}{\mathbf{p}^2 \mathbf{k}^2} \\ \mathcal{K}_{BFKL} \otimes f(\Delta) &= \\ K_0 \int d^2\mathbf{p} &\left[2K(\Delta, \Delta - \mathbf{p}) f(\mathbf{p}) - K(\mathbf{p}, \mathbf{p} - \Delta) f(\Delta) \right] \end{aligned}$$

★ Hard gluons, $\Delta^2 > Q_A^2$:

$$\mathcal{K}_{NonLin} \left[\phi(\Delta) \right] = \left[d^2 \mathbf{p} \phi(\mathbf{p}) \right] \cdot \mathcal{K}_{BFKL} \otimes \phi(\Delta)$$

$$\frac{\partial \Delta \phi_A(x, \Delta)}{\partial \log \frac{1}{x}} = \mathcal{K}_{BFKL} \otimes \phi(\Delta) \quad !!$$

- A remarkable recovery of the **linear** BFKL evolution for hard gluons from the **nonlinear** component of the nuclear X-section. The related color dipole derivation to all orders of DLLA by B.Zakharov (Zakharov '98).
- An expected result in view of unshadowed hard collective glue: $\phi(\Delta) = \nu(\mathbf{b}) f(\Delta)$.

★ Heavy nucleus, soft gluons, $\Delta^2 \ll Q_A^2$:

$$\frac{\partial \phi_A(x, \mathbf{b}, \Delta)}{\partial \log \frac{1}{x}} = -2C\pi K_0 \phi(x, \mathbf{b}, 0)$$

- $C \sim 1$, depends on the form of the collective nuclear glue.
- Because $\phi(x, \mathbf{b}, 0) \sim 1/Q_A^2(\mathbf{b})$, this entails an **expanding plateau** with the rising saturation scale

$$Q_A^2(\mathbf{b}) \implies Q_A^2(\mathbf{b}) \left[1 + 2C\pi K_0 \log \frac{1}{x} \right]$$

- The full-fledged nonlinear evolution for $\Delta^2 \sim Q_A^2$.

★ Diffractive DIS: still a marginal $\lesssim 10\%$ of total DIS at HERA, but 50% for heavy nuclei (NNN,Zakharov,Zoller '94). A manifestly nonlinear observable. First a free nucleon target:

- $\gamma^* \rightarrow q\bar{q}$: excitation of low diffractive masses, $M^2 \sim Q^2$:

$$\left. \frac{d\sigma_D}{dt} \right|_{t=0} = \frac{1}{16\pi} \langle \gamma^*(q\bar{q}) | \sigma^2(x, \mathbf{r}) | \gamma^*(q\bar{q}) \rangle$$

- $\gamma^* \rightarrow q\bar{q}g$: excitation of high diffractive masses, $M^2 \gg Q^2$,

$$\beta = \frac{x}{x_{\mathbb{P}}} = \frac{Q^2}{Q^2 + M^2} \ll 1$$

$$\begin{aligned} M^2 \left. \frac{d\sigma_D}{dM^2 dt} \right|_{t=0} &= \left. \frac{\partial}{\partial \log \frac{1}{\beta}} \frac{d\sigma_D}{dt} \right|_{t=0} \\ &= \frac{1}{16\pi} \langle \gamma^*(q\bar{q}g) | \left(\sigma_3(x_{\mathbb{P}}, \boldsymbol{\rho}, \mathbf{r}) - \sigma(x_{\mathbb{P}}, \mathbf{r}) \right)^2 | \gamma^*(q\bar{q}g) \rangle \end{aligned}$$

- Color dipole factorization representation & definition of unintegrated diffractive glue:

$$\left. \frac{d\sigma_D}{dt} \right|_{t=0} = \frac{\sigma_0(x_{\mathbb{P}})}{16\pi} \langle \gamma^*(q\bar{q}) | \sigma_D(\mathbf{r}) | \gamma^*(q\bar{q}) \rangle$$

$$\sigma_D(\mathbf{r}) = \sigma_0(x_{\mathbb{P}}) \int d^2\Delta f_D(\Delta) [1 - \exp(i\Delta\mathbf{r})]$$

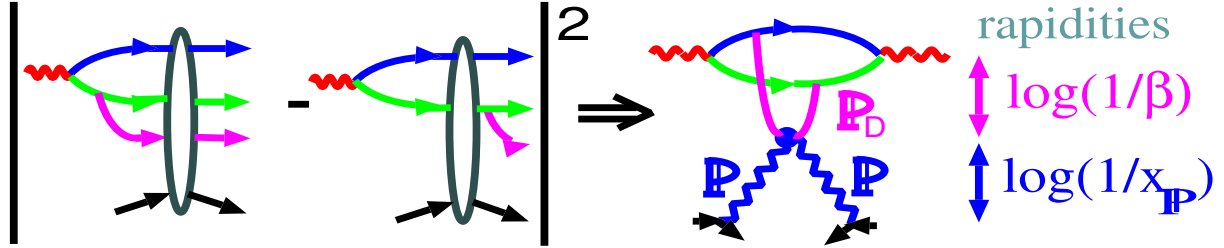
- The $q\bar{q}$ excitation: the manifestly nonlinear

$$f_{2D}(\Delta) = 2f(\Delta) - f \otimes f(\Delta) = 2f(\Delta) - f^{(2)}(\Delta)$$

★ Diffraction as inclusive DIS off a pomeron: the $q\bar{q}g$ excitation defines a **gluon density** in the valence gg state of the **diffractive Pomeron**.

★ Also a definition of the triple-pomeron vertex $A_{3\mathbb{P}}$.

★ The diffractive glue $f_D(\beta, \mathbf{b}, \Delta)$ is related to the diffractive Pomeron \mathbb{P}_D in precisely the same way as $f(\Delta)$ to the Pomeron \mathbb{P} of inclusive DIS.



$$\begin{aligned} \frac{\partial f_D(\beta, \mathbf{b}, \Delta)}{\partial \log \frac{1}{\beta}} &= \mathcal{K}_{BFKL} \otimes f_{2D}(\Delta) \\ &+ 2K_0 \int d^2\mathbf{p} d^2\mathbf{k} \left\{ \left[2K(\Delta + \mathbf{p}, \Delta + \mathbf{p} + \mathbf{k}) \right. \right. \\ &+ \left. \left. K(\Delta + \mathbf{p}, \Delta + \mathbf{k}) \right] f(\mathbf{p}) f(\mathbf{k}) \right. \\ &+ \left. \left[K(\Delta + \mathbf{p}, \Delta + \mathbf{k}) - K(\mathbf{p}, \mathbf{p} - \mathbf{k}) \right] f(\Delta) f(\mathbf{k}) \right. \\ &\left. - K(\mathbf{p}, \mathbf{p} - \mathbf{k}) f(\Delta - \mathbf{k}) f(\mathbf{k}) \right\} \\ &= \mathcal{K}_{BFKL} \otimes f_{2D}(\Delta) + \mathcal{K}^{(\mathcal{D})}_{NonLin} \left[f(\Delta) \right] \end{aligned}$$

- Large Δ^2 : the contribution from $\mathcal{K}^{(\mathcal{D})}_{NonLin}$ vanishes faster than the usual perturbative $1/\Delta^4$.

- The DLLA regime of large Δ^2 : the linear BFKL contribution matches the DLLA to DGLAP

$$\mathcal{K}_{BFKL} \otimes f_{2D}(\Delta) \Big|_{DLLA} = \frac{2K_0}{\Delta^4} \int^{\Delta^2} d^2\mathbf{p} \mathbf{p}^2 f_{2D}(\mathbf{p})$$

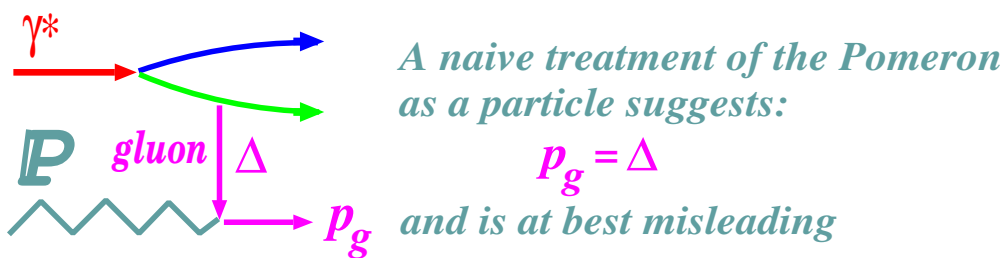
- The standard case: $\int^{\Delta^2} d^2\mathbf{p} \mathbf{p}^2 f(\mathbf{p}) \propto G(\Delta^2) \propto \log \Delta^2$.
- Diffraction is a special case: $f_{2D}(\Delta)$ is not positive-defined, satisfies the sum rule

$$d^2\mathbf{p} \mathbf{p}^2 f_{2D}(\mathbf{p}) = 0.$$

Hard diffractive glue $f_D(\beta, \Delta)$ has a **vanishing leading twist** component $\propto 1/\Delta^4$. Recall **valence quarks in the nucleon before DGLAP evolution**.

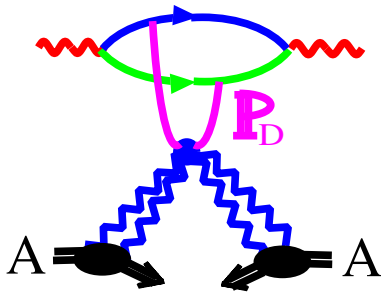
- The effect of depletion of $f^{(2)}(\mathbf{p})$ at small \mathbf{p}^2 is compensated for by higher twist effects at large \mathbf{p}^2 .
- The related absence of $\log \frac{1}{r^2}$ in $\sigma_D(\mathbf{r})$ has been noticed earlier in the color dipole DLLA treatment of high-mass diffraction (NNN, Zakharov '93).
- ★ The single-particle inclusive spectrum of diffractive gluons from $\gamma^* \rightarrow q\bar{q}g$ excitation (NNN' 99):

$$\frac{dN_g}{d^2\mathbf{p}_g} \propto \frac{G^2(x_{\mathbb{P}}, \mathbf{p}_g^2)}{p_g^4}$$



★ High-mass diffractive DIS off **heavy nuclei**: $S_A = 0$.

$$\begin{aligned} \frac{\partial \Delta \phi_D(\beta, \mathbf{b}, \Delta)}{\partial \log \frac{1}{\beta}} &= 2K_0 \left[\int d^2 \kappa \phi(\kappa) \right] \int d^2 \mathbf{p} d^2 \mathbf{k} d^2 \mathbf{q} \phi(\mathbf{k}) \phi(\mathbf{q}) \\ &\times \left\{ K(\Delta + \mathbf{p} + \mathbf{k}, \Delta + \mathbf{q} + \mathbf{k}) \phi(\mathbf{p}) \right. \\ &\quad \left. - K(\mathbf{p}, \mathbf{p} - \mathbf{k} - \mathbf{q}) \phi(\Delta - \mathbf{q}) \right. \\ &+ K_0 \int d^2 \mathbf{p} d^2 \mathbf{k} \phi^{(2)}(\mathbf{k}) \left\{ K(\mathbf{p}, \mathbf{p} - \mathbf{k} - \mathbf{q}) \phi^{(2)}(\Delta) \right. \\ &\quad \left. - K(\mathbf{p}, \mathbf{p} - \mathbf{k} - \Delta) \phi^{(2)}(\mathbf{p}) \right\} \end{aligned}$$



*Reinterpretation with **certain reservations**: the pomeron in nuclear high-mass diffraction evolves from **four** nuclear pomerons*

- High mass diffraction off heavy nuclei **can not** be described by the **same** triple pomeron coupling as in the free nucleon case.
- Color dipole representation for the low-mass diffraction off nuclei is identical to that for a free nucleon target:

$$\begin{aligned} f_{2D}(\Delta) &\implies \phi_{2D}(\Delta) \\ &= 2\phi(\Delta) - \phi \otimes \phi(\Delta) = 2\phi(\Delta) - \phi^{(2)}(\Delta) \end{aligned}$$

- Heavy nuclei, hard diffractive glue: $\Delta^2 > Q_A^2$.

$$\begin{aligned} \frac{\partial \Delta \phi_D(\beta, \mathbf{b}, \Delta)}{\partial \log \frac{1}{\beta}} &\simeq K_0 \int d^2 \mathbf{p} d^2 \mathbf{k} K(\Delta - \mathbf{p}, \Delta - \mathbf{k}) \\ &\times \left[2\phi(\mathbf{p})\phi(\mathbf{k}) - \phi^{(2)}(\mathbf{p})\phi^{(2)}(\mathbf{k}) \right] \\ &\simeq \mathcal{K}_{BFKL} \otimes \phi_{2D}(\Delta) \end{aligned}$$

- Coincides with the free nucleon case subject to

$$f(\Delta) \iff \phi(\Delta)$$

- The sum rule $\int d^2 \mathbf{p} \phi_{2D}(\mathbf{p}) = 0$ holds for nuclei too: entails a vanishing leading twist component, $\propto 1/\Delta^4$, of nuclear diffractive unintegrated glue.
- Experience with color dipole DLLA for diffraction : DGLAP properties of hard diffractive glue will be recovered to higher orders of evolution (NNN, Zakharov '93).

★ Soft gluons, $\Delta^2 > Q_A^2$:

$$\frac{\partial \Delta \phi_D(\beta, \mathbf{b}, 0)}{\partial \log \frac{1}{\beta}} = 2\pi \left[B_D \phi(\mathbf{b}, 0) + C_D \phi^{(2)}(\mathbf{b}, 0) \right]$$

$B_D, C_D \sim 1$ are model-dependent factors.

Conclusions

- Saturation \iff **opacity of heavy nuclei** to large color dipoles.
- Expansion of nuclear unintegrated glue in terms of *collective glue of overlapping nucleons* in the Lorentz-contracted ultrarelativistic nucleus.
- A framework for the *non-abelian* aspects of intranuclear evolution of color dipoles/multiparton systems.
- Collective nuclear glue (*nuclear Pomeron*) is a useful concept, but color coupled channels *destroy k_{\perp} factorization* for two-particle spectra, and for certain single-particle spectra .
- Nonlinear k_{\perp} -factorization for forward dijet production off nuclei in terms of the collective nuclear glue: a semblance of the *four nuclear Pomeron exchange*.
- Complete first step of $\log \frac{1}{x}, \log \frac{1}{\beta}$ evolution for nuclear DIS and diffraction. **No closed-form evolution** for (*nuclear Pomeron*) because of broken k_{\perp} -factorization.
- *Recovery of linear BFKL evolution* for hard collective nuclear gluons (**nuclear Pomeron**) beyond the saturation scale even for strongly absorbing nuclei.
- *Recovery of linear BFKL evolution* for unintegrated diffractive gluon density (**diffractive Pomeron**) in the hard regime.
- Unusual sign-indefinite boundary condition for diffractive unintegrated glue (**diffractive Pomeron**).